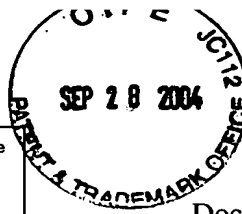


I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ED181234814US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 28, 2004 Signature: *Andrea Silverman*

(Andrea Silverman)



Docket No.: SUPP-P01-007
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Graef et al.

Application No.: 10/824939

Filed: April 15, 2004

For: METHODS AND COMPOSITIONS FOR
ENHANCING NEURON GROWTH AND
SURVIVAL

Confirmation No.: 7564

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

September 28, 2004

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 28, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney, the Filing Fee for the Application (as shown on the accompanying Fee Transmittal), and Part 2 Copy of Notice. The Notice sets a deadline of August 28, 2004 for filing the required items. Pursuant to 37 CFR 1.136(a), Applicants hereby request a one-month extension of time, extending the deadline to respond to September 28, 2004. Accordingly, this Response is being timely filed within the extended deadline.

Please charge our Deposit Account No. 18-1945 in the amount of \$849.00 covering the fees set forth in 37 CFR 1.16(e) and 1.16(a) as indicated on the accompanying Fee Transmittal. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by

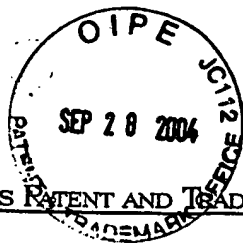
this firm) to our Deposit Account No. 18-1945, under Order No. SUPP-P01-007. A duplicate copy of this paper is enclosed.

Dated: September 28, 2004

Respectfully submitted,

By 
Gloria Fuentes

Registration No.: 47,580
ROPES & GRAY LLP
45 Rockefeller Plaza
New York, New York 10111-0087
(212) 497-3624
(212) 497-3650 (Fax)
Attorneys/Agents For Applicant



09/29/04

IFW#

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,939	04/15/2004	Isabella Graef	SUPP-P01-007

28120
ROPES & GRAY LLP
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2624

CONFIRMATION NO. 7564
FORMALITIES LETTER



OC000000013084425

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$344 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$794** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$344**

09/30/2004 MAHME1 00000059 181945 10824939

01 FC:2001 385.00 DA
02 FC:2051 65.00 DA
03 FC:2201 344.00 DA

- \$344 for 8 independent claims over 3.

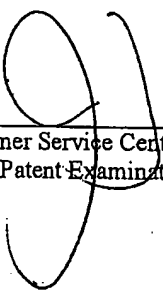
Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

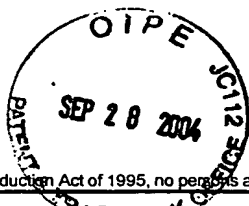
Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 849.00

Complete if Known

Application Number	10/824939
Filing Date	April 15, 2004
First Named Inventor	Isabella Graef
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	SUPP-P01-007

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 18-1945

Deposit Account Name: Ropes & Gray LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE	
Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
1001 770	2001 385
Utility filing fee	385.00
1002 340	2002 170
Design filing fee	
1003 530	2003 265
Plant filing fee	
1004 770	2004 385
Reissue filing fee	
1005 160	2005 80
Provisional filing fee	
SUBTOTAL (1) (\$ 385.00)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20** =	0.00	0.00
Independent Claims	11	-3** =	8 x 43.00 = 344.00
Multiple Dependent			

Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
1202 18	2202 9
Claims in excess of 20	
1201 86	2201 43
Independent claims in excess of 3	
1203 290	2203 145
Multiple dependent claim, if not paid	
1204 86	2204 43
** Reissue independent claims over original patent	
1205 18	2205 9
** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 344.00)	

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES	
Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
1051 130	2051 65
Surcharge - late filing fee or oath	65.00
1052 50	2052 25
Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130
Non-English specification	
1812 2,520	1812 2,520
For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*
Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*
Requesting publication of SIR after Examiner action	
1251 110	2251 55
Extension for reply within first month	55.00
1252 420	2252 210
Extension for reply within second month	
1253 950	2253 475
Extension for reply within third month	
1254 1,480	2254 740
Extension for reply within fourth month	
1255 2,010	2255 1,005
Extension for reply within fifth month	
1401 330	2401 165
Notice of Appeal	
1402 330	2402 165
Filing a brief in support of an appeal	
1403 290	2403 145
Request for oral hearing	
1451 1,510	1451 1,510
Petition to institute a public use proceeding	
1452 110	2452 55
Petition to revive - unavoidable	
1453 1,330	2453 665
Petition to revive - unintentional	
1501 1,330	2501 665
Utility issue fee (or reissue)	
1502 480	2502 240
Design issue fee	
1503 640	2503 320
Plant issue fee	
1460 130	1460 130
Petitions to the Commissioner	
1807 50	1807 50
Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180
Submission of Information Disclosure Stmt	
8021 40	8021 40
Recording each patent assignment per property (times number of properties)	
1809 770	2809 385
Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385
For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385
Request for Continued Examination (RCE)	
1802 900	1802 900
Request for expedited examination of a design application	
Other fee (specify)	
*Reduced by Basic Filing Fee Paid	
SUBTOTAL (3) (\$ 120.00)	

SUBMITTED BY

Name (Print/Type)	Gloria Fuentes	Registration No. (Attorney/Agent)	47,580	Telephone	(212) 497-3624
Signature		Date	September 28, 2004		

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Dated: September 28, 2004

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